

Application Form for Micro, Small & Medium Enterprise

Scheme for relief and concessions to the viable Sick Industrial Enterprises under Government Resolution No. MIS/10/2015/702426/P dated 11/09/2017.

1	(i)	Name of the Enterprise				
	(ii)	Address of the factory/s				
	(iii)	Address for correspondence				
	(iv)	Name of the key promoter				
		Address				
		Phone No.		(O)		
				(R)		
				(M)		
	(v)	Name of the contact person				
		Address				
		Phone No.		(O)		
				(R)		
				(M)		
	2	(i)	Date of establishment			
(ii)		Date of commencement of commercial production				
(iii)		Whether enterprise is at present in production or not?		Yes / No		
(iv)		If enterprise is at present in production details of employment				
3	Products manufactured / being manufactured					
	(i)					
	(ii)					
	(iii)					
4	Name and address of Partners / Directors:					
	(i)					
	(ii)					
	(iii)					
5	Performance of enterprise for last three years		201*-.**	201*-.**	201*-.**	
	(i)	Sales	Quantity (MT /Nos.)			
			Value (Rs. Lacks)			
	(ii)	Gross Profit/Loss				
	(iii)	Net Profit/loss (after depreciation & tax)				
	(iv)	Accumulated losses				
	enclose: Annual report/Audited Balance-Sheets for last 3 years					

6	Balance Sheet - certified by Statutory Auditors of Company (as on financial year preceding the date of application submitted under this scheme)				
	Sources of Funds :		201* _{-**}	201* _{-**}	201* _{-**}
	(i)	Paid-up capital			
	(ii)	Reserve & Surplus			
	(iii)	Term Loan			
	(iv)	Deposits			
	(v)	Any other loan / unsecured loan / secured loan			
	Total				
	Application of funds:				
	(i)	Net Block			
	(ii)	Capital work in progress			
	(iii)	Current assets:			
		(a)	Inventories		
		(b)	Sundry debtors		
		(c)	Other current assets		
		(d)	Loan & advance		
	Sub-total (A)				
	Less				
	a)	Current Liabilities			
	b)	Provisions			
Sub-total (B)					
(iv)	Net current assets (A-B)				
(v)	Investment if any				
(vi)	Loss				
Total					
7	Net worth Details				
	Particulars		Year		
			(details of three financial year preceding from the date of application)		
			201* _{-**}	201* _{-**}	201* _{-**}
	(i)	Paid-up capital			
	(ii)	Reserve & Surplus (excluding revaluation reserve)			
	(iii)	Total (i+ ii)			
	(iv)	Accumulated profit/ losses			
(v)	Net worth (iii + iv)				
(vi)	Percentage erosion of net worth				
Please submit Certificate of Statutory Auditor of the enterprise in support of the above.					
8	Details for Registration as Sick Enterprise				
	(i)	Micro, Small and Medium industrial enterprise	Submit copy of EM Part II / Udyog Adhar acknowledgement		
(ii)	Whether the erosion in the net worth due to accumulated cash losses to the extent of 50 percent of net worth (Please submit CA certificate in support of erosion of net worth.)		Yes / No Give details		

	(iii)	Whether the unit was in production for minimum two year? If yes, please indicate years during which production continued. (Please submit two years audited balance sheets of the unit during which it remained in production)						Yes / No	
	B	Whether Draft Rehabilitation Scheme/ Techno Economic Viable Report have been prepared? If yes, submit the copy of the same.						Yes / No	
9	Whether any legal actions are initiated by Govt. Department /any creditor or by applicant promoter/ your enterprise in Hon. Court, if yes, pl. gives details.								
10	If the unit is closed, please give the following details.								
	(i)	If the unit is closed, please give the date of closure and reasons thereof.							
	(ii)	Whether power and /or water is disconnected (If yes, mention date of disconnection)							
	(iii)	Whether Labours /Workers are retrenched, pl. give details							
	(iv)	Whether possession of Unit is with promoter/s, if not with whom it is and from which date?							
11	Details of outstanding dues of State Government (The dues accrued up to 31 st March of the financial year preceding the date of application submitted under this scheme)								
	(a)	Details of outstanding dues as on 31/03/20**						(Rs. lacs)	
		Sr. No	Name of Govt. Department/ Board /Corporation	Principal Amount	Interest	Panel Interest	Penalty	Others	Total
		1							
		2							
		3							
		Total							
	Option exercised by the enterprise for Relief & concessions under OTS Scheme as per GR dated 11/09/2017				Option-1 OR Option-2				
	Consent for making payment including applicable interest (Option 2)				Enclosed Board resolution				
12	Details of outstanding dues of Banks / Financial Institutions								
	(a)	Details of outstanding dues as on 31-03-20**						(Rs lacs)	
		Sr.No.	Name of Bank / FI	Principal	Interest	Others	Total		
		1							
		2							
		3							

	(b)	If dues of Bank/ FI already settled / proposed to be settled, give details				
		Sr. No.	Name of Bank / FI	Total outstanding	Settlement amount	Sacrifice
		1				
		2				
	3					
13	Details of Financial arrangement for revival.					
	(A)	Arrangement for required funds				
	(B)	If it is proposed to induct strategic investor(s) to revive the unit, please give profile of investors (enclose: Audited Balance-Sheets for last 3 years of strategic investors)				
14	Declaration:					
	I hereby declare that the information, statements & documents submitted are to the best of my knowledge & belief, true and correct in all particulars. I abide with the terms, conditions, eligibility criteria and parameters specified in the Government Resolution dated 11/09/2017 and as amended from time to time. I also declare that I am authorized by the enterprise to sign and submit the application with relevant documents.					

Place:
Date:

Signature of Authorized Signatory
Seal of the Unit

Notes:

1. This application format should be duly filled & submitted to MSME Commissioner Office Gandhinagar for obtaining registration as MSME Sick Unit.
2. Copy of EM Part II / Udyog Adhar acknowledgement
3. Copy of partnership deed /registration of firm/Memorandum of Articles and Articles of Association.
4. Application should be accompanied by Annual report/Audited Balance-Sheets for the preceding three years. The Auditors remarks accompanying the accounts have to be fully dealt and complied with.
5. Application should be accompanied by a proposed Rehabilitation Scheme that envisages repayment of outstanding dues to the banks/financial institutions as well as outstanding dues of the State Government.
6. For DRS /TEV report
 - a) Draft Rehabilitation Scheme (DRS) report/TEV report from State/Central Financial Institutions, Gujarat Industrial and Technical Consultancy Organization Limited (GITCO), Nationalized Banks or their Associates.
 - b) If DRS/TEV report from agencies as mentioned in (a) above, submitted to MSME Commissioner office prior to three (3) years from the date of application, enterprise has to submit fresh DRS/ TEV report.
7. In case application is signed by the authorized signatory, a Board resolution of the company authorizing the person to sign the application must be enclosed with the application.
8. The sick enterprise who intend to avail benefit of (a) Reimbursement of electricity duty and/or (b) Incentive for additional fixed capital investment and/or (c) Incentive for fixed capital investment for generation of renewable energy for captive use and/or (d) Availment of unutilized Sales Tax/VAT incentive under any earlier Incentive Scheme and Kutch Package 2001 of the State Government and/or (e) Electricity supply through Open Access shall have to submit additional details as per respective annexure of this application form.
9. Sick industrial enterprise willing to avail benefit under G.R. shall have to submit application to MSME Commissioner Office Gandhinagar within 24 (Twenty Four) months from issue of modified GR dated 11/09/2017.

Check List

1. Application form in prescribed format dully filled in
2. Copy of partnership deed/registration of firm/Memorandum of Articles and Articles of Association.
3. Annual report/Audited Balance Sheets of last three financial years preceding the date of application submitted under this scheme.
4. Annual report/Audited Balance Sheet of last two financial years during which unit remain in production (if unit is not in production at the time of application.)
5. Copy of acknowledgement of EM Part II/ Udyog Aadhar, as prescribed by Government.
6. CA certificate in support of erosion of net worth as per point no 7 of application form.
7. Copy of Draft Rehabilitation Scheme/Techno Economic Viability Report Prepared by State/Central Financial Institutions, Gujarat Industrial and Technical Consultancy Organization Limited (GITCO), Nationalized Banks or their Associates.
8. CA certificate for outstanding dues of State Government/departments as per point no.12 of application form.
9. Consent on letter head supported by Board resolution for payment of Government dues (principal and other dues) as per Option exercised.
10. Details of any legal actions initiated by Govt. Department/any creditor or by applicant promoter/ enterprise in Hon. Court.
11. Declaration that enterprise has not availed benefit of OTS under any previous BIFR/GBIFR scheme dated 12/05/2004 and/or 15/07/2010 of the State Government, for availing benefit of OTS under this scheme.
12. Any other information that enterprise would like to submit.

Annexure - A

Reimbursement of Electricity Duty paid under the scheme for Micro, Small & Medium Enterprise

1	Name of the Industrial Enterprise	
2	Name of Power supplying Co	
3	Consumer No.	
4	Whether HT or LT connection:	
5	Sanctioned Load HP/KW	
6	Electric Meter No	

Undertaking:

I/We am/are not receiving electricity duty exemption / reimbursement from any other scheme of State Government or from any other State Government agency.

Date:
Place:

Signature of Authorized Signatory
Seal of the Unit

Please submit following Documents:

- a) Copy of power sanctioned by Government electricity supply co.
- b) Copy of power release Letter by Government electricity supply co.
- c) Copy of last motive power bill of the unit.

Annexure B

Net VAT Incentive on Eligible fixed capital investment made by viable sick enterprise under the scheme for Micro, Small & Medium Enterprise.

1	Name of the Industrial Enterprise	
2	Details of Registration obtained under: a) VAT c) GST b) CST State & Central	No and Date
3	Gross block of the unit (Rs lacs)	As per the balance sheet as on the 31st of March, __ of the immediately preceding financial year of the date of application
4	Date of submission of DRS/TEV report by Agency	
5	Details of Additional fixed capital investment proposed in DRS /TEV	a. New Building b. Plant & Machinery c. Others

Date:
Place:

Signature of Authorized Signatory
Seal of the Unit

Note: Eligible Fixed capital Investment:

1. New Building

- New building constructed
- The cost shall be considered as per the actual cost or the Schedule of Rates (SOR) of the relevant year of the R&B department of the State Government, whichever is lower.

2. Plant & Machinery

- New plant and machinery, and imported second hand plant and machinery having usable life for at least further ten years which is duly certified by Chartered Engineer.
- Cost of transportation, foundation, erection, installation and electrification, for new plant and machinery and imported second hand plant and machinery.

Annexure – C

Net VAT Incentive on Eligible fixed capital investment made for generation of renewable energy for captive use by viable sick enterprise under the scheme for Micro, Small & Medium Enterprise.

1	Name of the Industrial Enterprise	
2	Details of Registration obtained under: a) VAT c) GST b) CST State & Central	No and Date
3	Details of connected Power load	
4	Details of proposed capacity by way of renewable energy	
5	Details of proposed fixed capital investment for generation of renewable energy	

Date:
Place:

Signature of Authorized Signatory
Seal of the Unit

Note: Eligible Fixed capital Investment means.

1. New Building

- New building constructed
- The cost shall be considered as per the actual cost or the Schedule of Rates (SOR) of the relevant year of the R&B department of the State Government, whichever is lower.

2. Plant & Machinery

- New plant and machinery.
- Cost of transportation, foundation, erection, installation and electrification, for new plant and machinery.

Annexure D

Availment of unutilized Sales Tax/ VAT incentive under any earlier Incentive Scheme and Kutch Package 2001 of the State Government under the scheme for Micro, Small & Medium Enterprise.

1	Name of the Industrial Enterprise	
2.	Incentive scheme	Incentive scheme 1990-95/ 1995-2000/ Kutch Package 2001
3.	Date of commencement of production	
3.	Eligible period for availing Incentive under the respective scheme	
4.	Amount of incentive sanctioned under the respective scheme	(Rs. in Lakhs)
5.	Utilized sales Tax/VAT Incentive	(Rs. in Lakhs)
6.	Details of time period of utilization of Incentive	
7.	Reasons for non-utilization of incentive	
8.	Any other information	

Date:
Place:

Signature of Authorized Signatory
Seal of the Unit

Please submit following Documents:

- a) Copy of Incentive eligibility certificate and period.
- b) Copy of Certificate from Commercial Tax department for amount of incentive utilized and period.

Annexure E

Entitlement by sick enterprise to avail electricity supply through Open Access under the scheme for Micro, Small & Medium Enterprise.

1	Name of the Industrial Enterprise	
2	Name of present Power supplying Co	
3	Sanctioned Load HP/KW	
4	Name of the power supplying company from whom to access power	
5	Reasons for source power from other power supplying company	
6	Any other information	

Date:

Place:

Signature of Authorized Signatory

Seal of the Unit

CHECK LIST OF THE DOCUMENTS/INFORMATIONS SUBMITTED WITH MICRO, SMALL & MEDIUM ENTERPRISEVAIBLE SICK INDUSTRIAL ENTERPRISES APPLICATION UNDER GR 11/09/2017

Name of the Unit:

Date-00/00/2017

Sr. No	Details	Remarks	Documents submitted or not	Page No.
1	Application form in prescribed format duly filled with all details.			
2	Copy of Memorandum of association and Article of association/Partnership deed.			
3	Annual Report/Audited balance sheets of last three Years i.e. 2013-14, 2014-15, 2015-16 duly signed.			
4	Audited balance sheets of two years during which unit remained in production, if not included in (7) of application.			
5	Copy of EM Part II / UdyogAadhar.			
6	C.A. certificates in support of erosion of net worth as per point of 7 of application form.			
7	Copy of DRS/ TEV report from State/ Central Financial Institutions, Gujarat Industrial and Technical Consultancy Organization Limited (GITCO), Nationalized Banks or their Associates.			
8	C.A. certificate for outstanding State Government/department as per point of 12 of application form.			
9	Consent on letter head making payment of principal dues (principal & other dues)as per option exercised, specified Government dues department wise supported by Board resolution			
10	Details of any legal actions initiated by Government Department /any creditor or applicant-promoter enterprise in Hon. Court,			
11	Declaration that enterprise has not availed benefits of OTS under BIFR/GBIFR scheme dtd. 12/05/2004 and/or 15/07/2010 of the State Government for availing benefit of OTS under this scheme.			
12	Details of outstanding dues of Banks/FIs			
13	Details of dues of Banks/FIs, if settled/to be settled,			
14	Option Consented I or II			
15	If opted for option-II consent for paying interest @ 9% for outstanding principal amount as per GR during installment period			

16	Whether unit is in production			
17	Item of production: Capacity per year: 2013-14 2014-15 2015-16 Quantity (MT/Nos) ---- - - - - - Value in Rs. in Lakh			
18	With whom the possession of the unit is			
19	Whether unit has availed benefit of a scheme of a particular office			
20	Whether unit has availed reimbursement of Electricity Duty paid under the scheme			
21	Whether unit want to avail Net VAT incentive on eligible fixed capital investment.			
22	Whether unit want to avail Net VAT incentive on eligible fixed capital investment made for generation of renewable energy for captive use.			
23	Whether unit want to avail unutilized Sales Tax/ VAT and earlier Incentive scheme & Kutch Package 2001			
24	Whether unit want to avail electricity supply through Open access.			
25	Any such other information that promoter would like to submit			

Annexure

For relief and concessions to the Micro, Small & Medium viable sick industrial enterprise of dues of State Government under Government Resolution No. MIS/10/2015/702426/P dated 11/09/2017.

Name of the Unit:

Registration No.

Date of Application

CERTIFICATE

(Rs. in Lakh)

Name of the department/Board/Corporation	Dues till the date 31/03/201*		
	Sr. No.	Details	Amount Rs.
	1	Principal	
	2	Interest	
	3	Penal Interest	
	4	Penalty	
	5	Others(Please Specify)	
	Total Rs.		

(Sign & Seal)

Nodal Officer

Annexure-A

For relief and concessions to the Micro, Small & Medium Enterprise viable sick industrial enterprise of dues of State Government under Government Resolution No. MIS/10/2015/702426/Pdated 11/09/2017.

Name of the Unit:

Registration No.

Date of Application

CERTIFICATE

(Rs. in Lakh)

Details of final certificate for Sales Tax Incentives(Exemption/Deferment)			Incentives availed (Exemption/Deferment)		Unutilized Incentives
Issue Date	Amount Rs.	Eligible Period	Year	Amount Rs.	Amount Rs.

(Sign & Seal)

Nodal Officer

Annexure-B

For relief and concessions to the Micro, Small & Medium viable sick industrial enterprise of dues of State Government under Government Resolution No. MIS/10/2015/702426/Pdated 11/09/2017.

Name of the Unit:

RegistrationNo.

Date of Application

CERTIFICATE

(Rs. in Lakh)

Details of eligibility certificate for Sales Tax Incentive				
Scheme &Sanction Date	Eligible Amount Rs.	Eligible Period	Availed Sales Tax Incentive	Unutilized Incentive

(Sign & Seal)

Nodal Officer

Annexure-C

For relief and concessions to the Micro, Small & Medium viable sick industrial enterprise of dues of State Government under Government Resolution No. MIS/10/2015/702426/Pdated 11/09/2017.

Name of the Unit:

Registration No.

Date of Application

CERTIFICATE

(Rs. in Lakh)

Details of final certificate for Sales Tax/VAT Incentive Scheme Kutch Package 2001			Incentives availed Tax/VAT Incentive Scheme Kutch Package 2001		Unutilized Incentives
Issue Date	Amount Rs.	Eligible Period	Year	Amount Rs.	Amount Rs.

(Sign & Seal)

Nodal Officer

Annexure-D

For relief and concessions to the Micro, Small & Medium viable sick industrial enterprise of dues of State Government under Government Resolution No. MIS/10/2015/702426/Pdated 11/09/2017.

Name of the Unit:

RegistrationNo.

Date of Application

CERTIFICATE

(Rs. in Lakh)

Details of eligibility certificate for Sales Tax/VAT Incentive Scheme Kutch Package 2001				
Scheme &Sanction Date	Eligible Amount Rs.	Eligible Period	Availed Sales Tax Incentive	Unutilized Incentive

(Sign & Seal)

Nodal Officer